

# **Complaints and Appeals Policy and Procedure**



# **Complaints and Appeals Policy and Procedure**

## 1.1 Complaints Process

If a student, trainer or staff member is experiencing any difficulties, they are encouraged to discuss their concerns in the first instance with either their Trainer or the Student Support Officer (SSO). Institute staff will make themselves available at a mutually convenient time if a student wishes to seek assistance.

If a Student or Staff member wishes to make a formal complaint, they are required to complete a Complaints and Appeals Form, which is included in the Student and Trainers Handbook. Once the form has been completed, the form should be submitted to the **SSO** for actioning.

If required, the student has the right to have a third party/support person assist them through the Complaints Process, this may be due to language barriers or simply at the students' request.

Following is the process for managing complaints:

- 1. Formal complaint is received from the complainant to the Institute
- 2. If not already submitted with the complaint, a *Complaints and Appeals Form* is competed and submitted to the PEO
- 3. A written acknowledgement of receipt of the *Complaints and/or Appeal* will be forwarded to the complainant following receipt by the PEO within 5 working days
- 4. The Complaint is discussed with ALL parties involved in the grievance, and ALL parties are notified in writing of the complaint, which will ensure that order to meet the requirements of natural justice and procedural fairness
- 5. Grievances should be kept confidential, in order to protect the complainants
- 6. All *Complaints and Appeals Form* are to be reviewed at the monthly Quality and Compliance Meetings.
- 7. The PEO is to follow the process on the *Complaints and Appeals Form* for the process under "Recommended Action Required for Improvement".
  - a. An initial meeting is to be held within 10 working days
  - b. If further investigation is required, this should be completed within 60 calendar days
- 8. Each appellant:
  - a. Has an opportunity to formally present his or her case
  - b. Is given a written statement of the complaint outcomes, including reasons for the decision
- 9. If the Complainant wishes to appeal the Complaint outcome, the student can bring the complaint before senior management for resolution, agreeable to all parties.
- 10. If Senior Management is party to the grievance, they will not take part in any discussions or decisions made and the appeal will be referred to the PEO.



- 11. If a solution has not been reached to the benefit of all parties the complainant has the right to request a review by an independent party, who is not part of the Institute
- 12. The Institute is responsible for acting upon the subject of any complaint found to be substantiated.
- 13. Complaints and Appeals Forms received are to be entered onto the Complaints and Appeals Register
- 14. If the Institute determines that the complaint process cannot be finalised within 60 calendar days, the PEO will:
  - a. Confirm this in writing to the complainant, including reasons why more than 60 calendar days is required
  - b. Will regularly update the complainant or appellant on the progress of the matter

*Complaints and Appeals Forms* are to be actioned by the appropriate staff member and filed into the *Complaints and Appeals Register* and a scanned copy saved onto the student file in the database.

All *Complaints and Appeals Forms* are to be reviewed during the monthly Quality and Compliance Meetings and improvements are to be identified and implemented according to the Policies and Procedures of the Institute.

# 1.2 ESOS requirements

Based on the ESOS Act 2000 Section 8.4, if the student chooses to access the registered provider's complaints and appeals processes as per this Standard, the registered provider must maintain the student's enrolment while the complaints and appeals process is ongoing.

Based on ESOS Act 2000 Section 8.5, if the internal or any external complaint handling or appeal process results in a decision that supports the student, the registered provider must immediately implement any decision and/or corrective and preventative action required and advise the student of the outcome.

# 1.3 Disagree with Complaint Process

Should you disagree with the result of the appeal by the Institute, you can lodge a complaint to:

- Overseas Students Ombudsman (https://www.ombudsman.gov.au/How-we-canhelp/overseas-students) or 1300 362 072
- For student Visa issues Department of Home Affairs (<u>www.homeaffairs.gov.au</u>)
- For Legal Matters (<u>www.lawaccess.nsw.gov.au</u>) 1300 888 529
- For any International student mattes (<u>www.studyinaustralia.gov.au</u>) 1300 363 079
- National Training Complaints Hotline (<u>https://www.education.gov.au/NTCH</u>) Phone: 13 38 73, Monday–Friday, 8am to 6pm nationally. Email Complaints: <u>https://www.education.gov.au/email-complaints</u>
- NSW Office of Fair Trading (<u>http://www.fairtrading.nsw.gov.au</u>).
- QLD Fair Trading Queensland (<u>https://www.qld.gov.au/law/fair-trading/</u>)



- SA Consumer and Business Services SA (<u>http://www.cbs.sa.gov.au/wcm/</u>)
- TAS Consumer Affairs and Fair Trading (<u>http://www.consumer.tas.gov.au/</u>)
- VIC Consumer Affairs Victoria (https://www.consumer.vic.gov.au/businesses/fair-trading)
- WA Consumer Protection Western Australia (<u>https://www.commerce.wa.gov.au/consumer-protection</u>)
- NT Consumer Affairs Northern Territory (<u>http://www.consumeraffairs.nt.gov.au/Pages/default.aspx</u>)

There is no cost involved with lodging a complaint with Rosewood International College.

If complainant is dissatisfied with the outcome of the complaint or appeal at the end of the internal process, complainant may wish the matter would be dealt with through an external dispute resolution process facilitated by the Ombudsman. www.oso.gov.au, or 1300 362 072

#### 1.4 Complaints Flowchart



### 1.5 Complaints and Appeals Form

The Complaints and Appeals Form is accessible from the Student and Trainers Handbook or a complainant can also contact the Institute to obtain a copy of the form.

### 1.6 Complaints Report Form

The Complaints Report Form is to be used if there is not enough room on the Complaint and Appeals Form to describe the complaint. This form is to be attached and submitted with the *Complaints and Appeals Form*.



#### 1.7 Complaints and Appeals Register

The Institute has in place a register for filing completed Complaints and Appeals forms. When a complaint or appeal is received, the form collected is to be entered the Complaints and Appeals Register and given a register number.

Complaints and Appeals that are placed into the register are reviewed and monitored each month at the monthly Quality & Compliance Meeting.